

Transfer (R11) Compliance Program

Moratorium on Penalties for Regulation R11.1 Compliance: What You Need to Know

Dogs West is committed to supporting our members while ensuring compliance with Regulation R11.1, which governs the submission of transfer forms and Non-Transfer agreements. To assist members in rectifying any outstanding issues, a temporary moratorium on penalties will be introduced. This initiative is designed to provide a fair and reasonable period for members to self-identify and address any breaches without incurring late transfer fees. Below is a detailed breakdown of what this means for our members.

What is R11?

Regulation R11.1 outlines the responsibilities of breeders when transferring ownership of a dog:

- All applications to transfer a dog must be submitted on the Association's approved form.
- The seller is responsible for ensuring the transfer of ownership is completed when a dog is sold.
- A completed transfer of ownership form must be submitted to the Association within **90 days** of the change of ownership.
- If both parties agree that the dog will remain in the registered ownership of the seller, a **Non-Transfer Agreement** must be submitted on the approved form within **90 days** of the change of ownership.

What is the Moratorium?

A moratorium on all penalties relating to breaches of Regulation R11.1 will be in effect from **1 July 2025 to 30 December 2026**. This means that members who voluntarily disclose and rectify non-compliance with R11.1 during this period will not be subject to late transfer fees or other penalties.

Exclusions

- The moratorium will not apply to breaches identified or charged **before 1 July 2025**.
- Breaches identified **after 30 December 2026** will also not be covered.
- Requests for refunds on previous late transfer penalties will not be granted.

Purpose of the Moratorium

The objective of this temporary measure is to allow members sufficient time to:

- Adjust their processes and ensure full compliance with Regulation R11.1.
- Avoid financial strain caused by significant late fees.
- Work collaboratively with Dogs West to resolve transfer discrepancies.
- Provide an opportunity to review and improve regulations where necessary.

By offering this moratorium, Dogs West aims to strike a balance between enforcing compliance and supporting members through a structured transition period.

The Transfer (R11) Self-Assessment Program: How It Works

Dogs West will introduce the **Transfer (R11) Compliance Program** as part of this initiative. Key details include:

1. **Voluntary Compliance** – Members who self-report **prior to November 2025** and complete the necessary transfers will be eligible for the moratorium on penalties.
2. **Data Review** – **After 1 November 2025**, Dogs West will review all litters registered since October 2021 to identify untransferred puppies, excluding deceased dogs and those covered by Non-Transfer agreements.
3. **Compliance Audits** – Audits will be conducted at the start of the compliance period, six months later, and again at the nine-month mark.

4. **Member Notification** – All Dogs West members with a registered breeding prefix will receive an email detailing the program and urging them to check their records.
5. **Ongoing Reminders** – Updates and reminders will be posted on social media and in Canine News.
6. **Case-by-Case Support** – Breeders facing difficulties, such as a large volume of outstanding transfers or unresponsive buyers, will be offered assistance.
7. **Statutory Declarations** – If a breeder cannot contact a buyer, a statutory declaration (approved by the General Manager) may be accepted as proof of reasonable effort to resolve the transfer.
8. **Flexible Processing** – Late transfers will be processed as staff availability permits, without the standard five-day processing time.
9. **Record Keeping** – A detailed spreadsheet will track participating members and their compliance efforts to ensure transparency and accountability.

What This Means for You

This moratorium Program presents a valuable opportunity for members to update their records and achieve compliance without the burden of penalties. We strongly encourage all affected members to take advantage of this initiative and proactively address any outstanding transfer issues.

If you have questions or need assistance, please contact the Dogs West office for support. Together, we can ensure a smooth transition and continued adherence to our regulations.